



RECEIVED

3743

Please type a plus sign (+) inside this box → ☐

JUL 10 2001

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

|                        |                 |
|------------------------|-----------------|
| Application Number     | 09/826,225      |
| Filing Date            | April 4, 2001   |
| First Named Inventor   | J. Norley et al |
| Group Art Unit         | 3743            |
| Examiner Name          |                 |
| Attorney Docket Number | P-1029          |

Total Number of Pages in This Submission 3

## ENCLOSURES (check all that apply)

|                                                                              |                                                                                         |                                                                                            |
|------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached                                        | <input type="checkbox"/> Drawing(s)                                                     | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Reply                                   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                         | <input type="checkbox"/> Petition                                                       | <input type="checkbox"/> Proprietary Information                                           |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter                                                     |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below):                       |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer                                            |                                                                                            |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund                                             |                                                                                            |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                      |                                                                                            |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |                                                                                         |                                                                                            |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |                                                                                         |                                                                                            |

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |                    |
|-------------------------|--------------------|
| Firm or Individual name | James R. Cartiglia |
| Signature               |                    |
| Date                    | 6/28/01            |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 6-29-01

|                       |                     |      |         |
|-----------------------|---------------------|------|---------|
| Typed or printed name | Laurie J. Caravetta | Date | 6-29-01 |
| Signature             |                     |      |         |

Burden Hour Statement: This form is estimated to take 15 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 09/826,225

Group: 3743

Inventor: J. Norley et al

Filed: April 4, 2001

Title: ANISOTROPIC THERMAL  
SOLUTION

Examiner:

**RECEIVED**

**INFORMATION DISCLOSURE STATEMENT**

JUL 10 2001

TECHNOLOGY CENTER R3700

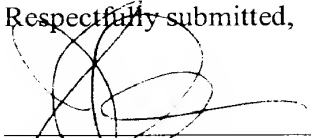
Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

In accordance with 37 CFR 1.51, 1.56 and 1.97, Information Disclosure Citation,  
Form PTO-1449, is provided herewith, and made of record, to assist the Patent and  
Trademark Office in its examination of the above-identified application.

A copy of each citation is enclosed herewith.

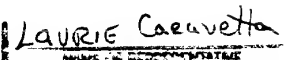

Respectfully submitted,

  
James R. Cartiglia  
Attorney for Applicants  
Registration No. 30738

Graftech Inc.  
3102 West End Ave, Suite 1100  
Nashville, TN 37203  
Tel: 615-760-7722  
Fax: 615-760-7785

Date: June 29, 2001

Communication Under 37 CFR 1.51, 1.56 and 1.97  
This correspondence is being made of record in the  
the United States Patent & Trademark Office  
class made in an electronic filing  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231, on 6-29-01

  
NAME OF REPRESENTATIVE  
  
SIGNATURE  
6-29-01  
DATE OF SIGNATURE